Certificate number
I-NL18T2_TAN
Valid from
25. October 2018.
Valid until
24. October 2019.

Certificate

Description of product:

NETLOCK Kft. as Trust Service Provider for trust services below:

LCP, NCP, NCP+, DVCP, IVCP, OVCP, EVCP, CSCP, qcp-n-qscd, qcp-l-qscd, qcp-n, qcp-l, qcp-w

Roots were audted in full audit.
Period considered during the certification: 2017. 09. 04. - 2018. 09. 03.

Based on the presented documentation and executed independent tests
MATRIX Ltd.* certifies that the

trust services provided by
NETLOCK Informatikai és Hálózatbiztonsági Szolgáltató Kft.
1101 Budapest, Expo tér 5-7.

conforms

to the requirements of the following normative documents:

- ETSI EN 319 401 v2.2.1 (2018-04)
- ETSI EN 319 411-1 v1.2.2 (2018-04)
- ETSI EN 319 411-2 v2.2.2 (2018-04)
- ETSI EN 319 412-1 v1.1.1 (2016-02)
- ETSI EN 319 412-2 v2.1.1 (2016-02)
- ETSI EN 319 412-3 v1.1.1 (2016-02)
- ETSI EN 319 412-4 v1.1.1 (2016-02)
- ETSI EN 319 412-5 v2.1.1 (2016-02)
- CSBR 1.1 (2016-09)

MATRIX CERTIFIED PRODUCT

Berki Csaba József
Certification Director
25. 10. 2018.

NAH-6-0036/2015 PRODUCT CERTIFICATION BODY

The certificate is valid only with its Annex.

*The certification body of MATRIX Ltd.(2040 Budaörs, Szabadság Road 290.) is accredited by National Accreditation Authority based on NAH-6-0036/2015 accreditation document.

ANNEX OF THE CERTIFICATE

(I-NL18T2_TAN.TSP)

Document ID	**I-NL18T2_TAN.TSP.ME-01**	
Project ID	**I-NL18T2**	**Netlock Kft. certification of trust services 2018**
MATRIX certification director	**Berki Csaba József**	
Date	**Budaörs, 25 of October, 2018**	
MATRIX Certification Director		

1. INTRODUCTION

The certification body of MATRIX Ltd. (henceforth: MATRIX) is accredited by National Accreditation Authority based on NAT-6-0036/2015 accreditation document according to eIDAS (REGULATION (EU) No 910/2014 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC and ETSI 319 4xx standards) as an independent certification body.

The NETLOCK IT and Network Security Service Ltd. (henceforth: NETLOCK), as trust service provider for qualified preservation service, requested MATRIX in 2017, as a notified certification body (accreditation document number: NAT-6-0036/2015). For the certification NETLOCK and MATRIX launched a joint project.

Detailed Evaluation Reports have been made about the certification. The most important information on the conditions of certification and use is contained in this annex.

2. CONFORMITY ASSESSMENT REQUIREMENTS

Requirements for the Evaluation are defined in the following standards:

- **ETSI EN 319 401 v2.2.1 (2018-04)**
  Electronic Signatures and Infrastructures (ESI); General Policy Requirements for Trust Service Providers, Version v2.2.1, 2018-04, European Telecommunications Standards Institute
- **ETSI EN 319 411-1 v1.2.2 (2018-04)**
  Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General requirements, Version v1.2.2, 2018-04, European Telecommunications Standards Institute
- **ETSI EN 319 411-2 v2.2.2 (2018-04)**
  Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 2:

Requirements for trust service providers issuing EU qualified certificates, Version v2.2.2, 2018-04, European Telecommunications Standards Institute

- **ETSI EN 319 412-1 v1.1.1 (2016-02)**
  Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 1: Overview and common data structures, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-2 v2.1.1 (2016-02)**
  Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 2: Certificate profile for certificates issued to natural persons, Version v2.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-3 v1.1.1 (2016-02)**
  Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 3: Certificate profile for certificates issued to legal persons, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-4 v1.1.1 (2016-02)**
  Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 4: Certificate profile for web site certificates, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-5 v2.1.1 (2016-02)**
  Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 5: QCStatements, Version v2.1.1, 2016-02, European Telecommunications Standards Institute
- **CSBR 1.1 (2016-09)**
  Minimum Requirements for the Issuance and Management of Publicly-Trusted Code Signing Certificates

3. CONFORMITY ASSESSMENT TARGET

3.1. Evaluated profiles

List of profiles reviewed the audit, according to the requiremented standard.

Type of the profiles	**Standard that describes the profile**	
Personal	ETSI EN 319 412-2	For natural persons
Organizational	ETSI EN 319 412-3	For legal perons, organizations
SSL web	ETSI EN 319 412-4	For web certifications
QCStatements (qualified personal)	ETSI EN 319 412-5	Profiles completed with Qualified Certificate Statements
Code signing	CSBR 1.1	Signing code

3.2. The audit covered the following certifications:

Short name in structure	Full name	CA availability	SHA256 fingerprint
SHA2 GOLD	NetLock Arany (Class Gold) Főtanúsítvány	www.NetLock.hu/index.cgi?ca=gold	6C:61:DA:C3:A2:DE:F0:31:50:6B:E0:36:D2:A6:FE:40:19:94:FB:D1:3D:F9:C8:D4:66:59:92:74:C4:46:EC:98
SHA2 PLATINUM	NetLock Platina (Class Platinum) Főtanúsítvány	www.NetLock.hu/index.cgi?ca=platinum	EB:7E:05:AA:58:E7:BD:32:8A:28:2B:F8:86:70:33:F3:C0:35:34:2B:51:6E:E8:5C:01:67:3D:FF:FF:BB:FE:58
TRUSTADV+	NETLOCK Trust Advanced Plus CA	www.NetLock.hu/index.cgi?ca=trustap	F2:60:36:70:BE:DE:AD:1D:97:7D:69:92:FA:65:54:E6:CA:59:5B:C5:0F:3B:03:F4:16:DC:F0:F2:0D:AC:36:C2
TRUSTADV	NETLOCK Trust Advanced CA	www.NetLock.hu/index.cgi?ca=trusta	D8:2F:87:F9:3D:31:D5:FC:81:8D:D6:6B:D5:0E:7F:31:9A:E1:79:FC:1C:5D:00:54:7B:65:8E:8E:B3:F4:CE:56
TRUST	NETLOCK Trust CA	www.NetLock.hu/index.cgi?ca=trust	58:D7:A1:97:F0:9A:6E:A5:52:B8:EA:6B:1A:53:18:5A:03:0A:3A:D8:D5:22:20:C0:0C:44:E3:F4:50:E4:FB:90
TRUSTSSL	NETLOCK Trust SSL CA	www.NetLock.hu/index.cgi?ca=trustssl	0A:77:8B:F9:DD:7F:75:B2:F9:83:FB:7F:A7:05:C9:87:CC:CB:58:77:40:4B:E7:EB:5D:8B:A0:B7:35:45:D0:D9
TRUSTEV	NETLOCK Trust EV CA	www.NetLock.hu/index.cgi?ca=trustev	A4:76:FE:1F:BF:EB:A0:81:75:A0:C3:48:07:99:0B:86:E6:3B:5A:A2:D6:CE:57:9C:4F:45:6C:95:57:5B:B1:F6
CODESIGNCA	NetLock CodeSign CA	www.NetLock.hu/index.cgi?ca=codesignca	C5:6F:0F:28:66:18:C1:E7:B0:E1:12:C9:7B:9E:E9:6F:EB:4D:71:E7:94:96:C1:51:FA:1F:E8:A8:CE:BD:06:CD
QTRUSTQSCD	NETLOCK Trust Qualified QSCD CA	www.NetLock.hu/index.cgi?ca=qtrustqscd	9E:43:62:A9:18:A8:90:09:87:7C:7B:8B:19:0E:76:3A:E0:12:AD:47:C1:CC:A5:FC:CF:16:6F:C0:92:BC:2A:DE
QTRUSTSCD	NETLOCK Trust Qualified SCD CA	www.NetLock.hu/index.cgi?ca=qtrustscd	7C:E1:DB:7D:16:E9:BF:E8:06:93:21:4A:3D:7C:62:63:AA:24:78:9E:39:90:17:E6:9E:DE:48:02:EC:F6:F7:11
QTRUST	NETLOCK Trust Qualified CA	www.NetLock.hu/index.cgi?ca=qtrust	54:98:96:3D:FF:A6:51:60:4F:46:7E:10:8E:65:A1:83:47:0F:A9:B5:57:C1:29:DD:F8:C9:D8:12:B4:F0:BF:96
QTRUSTEV	NETLOCK Trust Qualified EV CA	www.NetLock.hu/index.cgi?ca=qtrustev	B0:F2:5A:6D:9A:96:31:5C:7C:DA:EA:3E:49:0E:7B:FA:9F:B1:73:10:B0:70:1B:7C:D6:FF:43:25:30:83:77:30
SHA2 Q EAT	NetLock Minősített Eat. (Class Q Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cqlca	62:84:A0:3B:AF:AE:86:1A:30:E3:A2:5A:03:04:28:30:6F:7E:B5:2B:D0:BA:57:7A:1D:96:2A:80:9A:83:0C:9E
SHA2 QS EAT	NetLock Minősített Eat. (Class Q Legal S) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cqlsca	A5:F2:FD:0D:66:DB:4D:D7:7A:29:14:ED:3C:74:7C:BD:97:E7:34:CF:4E:2B:6F:21:7F:B4:1A:A4:EA:FD:EA:D2
SHA2 A EAT	NetLock Közjegyzői Eat. (Class A Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=calca	AD:47:88:3A:48:C8:6A:34:69:E3:2C:97:2B:39:A3:EE:15:58:04:D3:2B:F5:3F:F0:02:00:0B:CA:11:D2:95:D9
SHA2 B EAT	NetLock Üzleti Eat. (Class B Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cblca	1D:93:68:6C:A4:2C:70:39:4F:BD:C2:BC:1F:98:46:1D:19:87:1C:2A:00:07:8B:81:54:99:31:2E:D9:F6:FE:0C
SHA2 C EAT	NetLock Expressz Eat. (Class C Legal)	www.NetLock.hu/index.cgi?ca=cclca	BB:66:1D:75:0C:53:16:61:81:80:7A:68:98:FD:46:40:65:CE:59:29:89:86:AD:66:D9:D6:FF:FC:BB:D

	Tanúsítványkiadó		4:73:8A
SHA2 A	NetLock Közjegyzői (Class A) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=caca	75:89:4D:4C:94:C2:2D:72:96:EE:19:FB:44:76:23:25:8C:25:91:B1:70:31:28:8B:B3:86:A2:0A:A1:F0:E0:A
SHA2 B	NetLock Üzleti (Class B) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cbca	6B:23:FA:D8:90:18:0C:33:7B:86:41:55:DA:E0:DE:9B:AD:9E:F0:BD:A7:8D:11:2F:2C:BD:C3:D0:2A:D1:79:56
SHA2 C	NetLock Expressz (Class C) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=ccca	37:2B:8F:4C:E7:3B:ED:FC:88:71:8C:40:7B:B6:B3:E6:D8:F9:A7:9B:E9:57:19:0D:0E:71:01:C7:B0:EF:9A:32
SHA2 MKB EAT	MNB Tanúsítványkiadó	http://crl.mkb.hu/CA4.crt	E5:3A:9D:8C:00:46:C6:36:6D:3C:6A:18:E2:3B:4F:4C:BA:AA:DB:7D:79:B8:C2:F1:87:86:82:1D:EE:04:6A:F3
SHA2 MNB EAT	MNB Eat. Tanúsítványkiadó	http://cdp.mnb.hu/LHSZ1.crt	87:8E:F6:28:26:7A:15:49:3D:2B:D9:B1:BA:12:31:18:74:68:0B:D8:E1:C4:F0:4A:8B:36:92:41:DB:03:4D:FA
SHA2 KELER EAT	KELER Tanúsítványkiadó	http://www.keler.hu/crl/lhsz2.crt	73:C2:77:04:C3:B3:12:80:7D:18:15:5B:3A:D0:69:07:DC:9A:37:4B:66:FB:ED:C2:BC:29:73:03:1A:6B:E9:88
SHA1 QA	NetLock Minositett Kozjegyzoi (Class QA) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=mshea	E6:06:DD:EE:E2:EE:7F:5C:DE:F5:D9:05:8F:F8:B7:D0:A9:F0:42:87:7F:6A:17:1E:D8:FF:69:60:E4:CC:5E:A5
SHA2 MNB	MNB Tanúsítványkiadó	http://cdp.mnb.hu/LHSZ1.crt	4A:3B:B0:5D:B1:5E:E3:BE:97:74:20:6B:8B:6A:FE:22:9C:6F:7C:87:C3:A5:CF:A0:88:61:56:8E:BD:CE:7D:B3
SHA2 KELER	KELER Tanúsítványkiadó	http://www.keler.hu/crl/lhsz2.crt	E:27:23:FB:25:91:67:17:74:DE:5E:F8:0B:EC:65:6A:7A:F0:1F:3B:24:06:7A:F2:69:EE:35:30:18:91:AC:51
SHA1 A	NetLock Kozjegyzoi (Class A) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=kozjegyzoi	7F:12:CD:5F:7E:5E:29:0E:C7:D8:51:79:D5:B7:2C:20:A5:BE:75:08:FF:DB:5B:F8:1A:B9:68:4A:7F:C9:F6:67
SHA1 B	NetLock Üzleti (Class B) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=uzleti	39:DF:7B:68:2B:7B:93:8F:84:71:54:81:CC:DE:8D:60:D8:F2:2E:C5:98:87:7D:0A:AA:C1:2B:59:18:2B:03:12
SHA1 C	NetLock Expressz (Class C) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=expressz	0B:5E:ED:4E:84:64:03:CF:55:E0:65:84:84:40:ED:2A:82:75:8B:F5:B9:AA:1F:25:3D:46:13:CF:A0:80:FF:3F
SHA1 MKB	NetLock CA irányított az MKB Bank felé, Magyarország	archived	archived
SHA1 MNB	NetLock CA irányított az MNB Bank felé, Magyarország	archived	archived
SHA2 OnlineSSL	NETLOCK OnlineSSL Tanúsítványkiadó	http://www.netlock.h/index.cgi?crl=olsslgca	A4:C4:63:FA:A1:6C:C4:70:A8:29:B0:58:05:8F:1E:3D:FA:8B:83:97:97:B3:86:18:19:F7:58:07:CA:F1:F0:2C
SHA1 OnlineSSL	NetLock OnlineSSL Hitelesito Alegyseg	archiválva	archiválva
SHA1 KGYHSZ	KGYHSZ (Publikus Admin Tanúsítvány CA - Magyarország) Kormányzati tanúsítvány Magyarország, nem	http://www.kgyhsz.gov.hu/KGYHSZ_CA_20060719.cer	45:5D:96:A1:DA:62:12:66:08:D3:18:A6:E4:F1:9D:F3:11:EB:52:7F:3C:80:52:39:F1:33:5D:D5:45:95:C9:F2

	a NetLock tulajdona.		
SHA1 KIQA	NetLock Minositett Kozjegyzoi (Class QA) Tanusitvanykiado	https://www.NetLock.hu/index.cgi?ca=mkozig	84:E8:E1:0F:B1:00:31:CD:7C:06:DB:04:86:DF:F8:CE:09:A4:27:B2:3A:C1:AE:15:CB:17:FD:14:F6:2A:93:55
SHA1 KI A	NetLock (Class A) Közigazgatási Tanúsítványkiadó)	archived	archived
SHA1 KI B	(NetLock (Class B) Közigazgatási Tanúsítványkiadó)	https://www.NetLock.hu/index.cgi?ca=bkozig	32:C7:C6:AC:34:12:F4:F3:42:12:39:C9:E2:5B:AB:67:11:11:D7:1F:92:84:7E:EE:09:6E:2C:15:32:5A:F1:89
SHA2 KGYHSZ	KGYHSZ (Publikus Admin Tanúsítvány CA - Magyarország) Kormányzati tanúsítvány Magyarország, nem a NetLock tulajdona.	http://www.kgyhsz.gov.hu/KGYHSZ_CA_20091210.cer	83:34:92:D7:3A:6C:F4:E3:19:C5:9F:35:8D:37:DF:B5:51:98:ED:38:A9:88:90:FE:47:10:91:F4:E3:DF:27:20
SHA2 KI Q	NetLock Minősített Közigazgatási (Class Q) Tanúsítványkiadó	https://www.NetLock.hu/index.cgi?ca=mkozig256	EB:A2:27:84:D2:09:02:A9:F9:AF:3F:64:0D:14:88:9A:53:D7:F3:C4:B5:B0:69:70:16:B8:D7:49:AD:E9:7F:3E
SHA2 KI B	NetLock Közigazgatási Üzleti (Class B) Tanúsítványkiadó	https://www.NetLock.hu/index.cgi?ca=bkozig256	F7:F8:CD:8A:0B:CF:EF:03:76:B4:DE:06:90:4B:D4:F1:79:BF:3B:54:25:7F:CF:30:5C:DC:CF:7E:D7:F3:52:4

3.3. Customer of the certification

- *Comissioned name of organisation:*
  NETLOCK Informatikai és Hálózatbiztonsági Szolgáltató Korlátolt Felelősségű Társaság
- *Headquarter:*
  1101 Budapest, Expo tér 5-7.
- *The appropriate location for conducting a performance review:*
  1101 Budapest, Expo tér 5-7.

3.4. Scope of the audit

LCP	Lightweight CP
NCP	Normalized CP
NCP+	Extended Normalized CP
DVCP	Domain Validation CP
IVCP	Individual Validation CP
OVCP	Organizational Validation CP
EVCP	Extended Validation CP
CSCP	CodeSign CP (CSBR)
qcp-n	Qualified CP –for natural persons

qcp-l	Qualified CP –for legal persons
qcp-n-qscd	Qualified CP –for natural persons with qscd
qcp-l-qscd	Qualified CP –for legal persons with qscd
qcp-w	Qualified CP –for web site certificates

3.5. Audit methodology

The conformity assessment perfomed the audit team auditing method.

Auditing: Systematic, independent, documented process facts on records, claims or other important informations acquisition and their objective evaluation in order to determine to what extent the requirements are met.

The objective evidence collected by the audit team with the following techinuques:

- Documentation evaluation
- Visual inspection
- Interviewing
- Technical review

Documentation evaluation: Policies from the organization, certificate policies and evaluation of regulatory documents.

Visual inspection: During the on-site audit on the basis of administrative security measures and physical security protection measures in existence and application of visual assessment of audit along the route.

Interviewing: Observation persons involved in the certificate policies and in he process they have done, evaluation in targeted areas of assessment issues related to information security.

Technical review: The logical security provisions, technical configuration regulations evaluation of the IT system.

3.6. Audit time schedule

The full audit took 16 days. The risk analysis assessment requirements described in ETSI 319411-1 consideration of section 7.1.

Start date of the audit: 2018. 09. 04.	**End of the audit: 2018. 10. 04.**	
Documentation inspection:	2018.09.03.-09.14. 2018.09.27	11 days
Risk analysis evaluation:	2018.09.20.	1 day
On-site audit:	2018.10.02.-10.03.	2 days
Audit report preparation:	2017.10.17.-10.18	2 day
Examined period	2017.09.04	2018.09.03

3.7. Documentation used for the audit

- Szolgáltatási Rend Minősített Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.14.20180913
- Szolgáltatási Szabályzat Minősített Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.15.20180913
- Szolgáltatási Rend Nem Minősített Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.60.20180913
- Szolgáltatási Szabályzat Nem Minősített Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.59.20180913
- Szolgáltatási Rend Nem eIDAS Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.65.20180913
- Szolgáltatási Szabályzat Nem eIDAS Tanúsítványszolgáltatásokra - 1.3.6.1.4.1.3555.1.49.20180913

3.8. Description of the changes to the audit plan:

During the audit, the audit team members worked in accordance with the audit plan, so the audit did not differ from the planned schedule.

4. FINDINGS, RESULTS AND NON-CONFORMITIES

During the conformity assessment, according to requirements described in the identified standards carried out by the certificate policies performed trust services.

4.1. ETSI EN 319 401 V2.1.1 (2016-02)

Risk Assessment	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Policies and practices	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

TSP management and operation			
Internal organization	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Asset management	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Access control	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Cryptographic controls	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Physical and environmental security	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Operation security	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Network security	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Incident management	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Collection of evidence	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Business continuity management	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
TSP termination and termination plans	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Compliance	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

4.2. ETSI EN 319 411 V2.1.1 (2016-02)

General provisions on Certification Practice Statement and Certificate Policies			
General requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
CPS requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
CP name and identification	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
PKI participants	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate usage	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

TSP practice			
Publication and repository responsibilities	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Identification and authentication	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate Life-Cycle operational requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Facility, management, and operational controls	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Technical security controls	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Certificate, CRL, and OCSP profiles	Yes	Part of	No

Meets the standard requirements.	☑	☐	☐
Compliance audit and other assessment	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Other business and legal matters	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Other provisions	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Framework for the definition of other certificate policies			
CP management	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Additional requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

4.3. ETSI EN 319 412 V2.1.1 (2016-02)

ETSI EN 319 412 certificate profiles	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Common data structures			
Semantics identifiers	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

General certificate profile requirements			
Generic requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Basic certificate fields	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
IETF RFC 5280 internet certificate extensions	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

EU qualified certificate requirements			
EU QCStatements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate policies	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Profile requirements			
Generic requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Basic certificate fields	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Qualified certificate statements			
General requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
QCStatements claiming compliance with the EU legislation	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Generic QCStatements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Requirements on QCStatements in EU qualified certificates			
Generic requirements	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

4.4. CSBR 1.1 (2016-09)

Minimum Requirements for the Issuance and Management of Publicly-Trusted Code Signing Certificates			
Certificate Warranties and Representations	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Community and Applicability	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate Content and Profile	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate Request	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Verification Practices	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate Issuance by a Root CA	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Certificate Revocation and Status Checking	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Employees and Third Parties	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Data Records	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐
Data Security and Private Key Protection	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

Data Records	Yes	Part of	No
Meets the standard requirements.	☑	☐	☐

During the audit, non-conformity not recorded by the audit team.

Further audit evidence are in the audit report.

4.5. Evaluated profiles

List of profiles reviewed the audit, according to the requiremented standard.

Type of the profiles	**Standard that describes the profile**		**Fulfill the requirement**		
			Yes	Part of	No
Personal	ETSI EN 319 412-2	For natural persons	☑	☐	☐
Organizational	ETSI EN 319 412-3	For legal perons, organizations	☑	☐	☐
SSL web	ETSI EN 319 412-4	For web certifications	☑	☐	☐
QCStatements (qualified personal)	ETSI EN 319 412-5	Profiles completed with Qualified Certificate Statements	☑	☐	☐
Code signing	CSBR 1.1	Sign code	☑	☐	☐