

Certificate

Description of trust service:

NetLock Ltd. as Trust Service Provider for trust service below:

**LCP, NCP, NCP+, DVCP, IVCP, OVCP, EVCP, CSCP,
qcp-n-qscd, qcp-l-qscd, qcp-n, qcp-l, qcp-w**

Roots were audited in full audit.

Period considered during the certification: 2018. 09. 04. - 2019. 09. 03.

Based on the certification scheme MATRIX_TS-1,(MSZ EN ISO / IEC
17067: 2013 Standard Table 1 Building product certification scheme Type

4) presented documentation and executed independent tests
MATRIX Ltd.* certifies that the

NetLock Ltd.
1101 Budapest, Expo tér 5-7.

conforms

to the requirements of

the following normative documents:

- ETSI EN 319 401 v2.2.1 (2018-04)
- ETSI EN 319 411-1 v1.2.2 (2018-04)
- ETSI EN 319 411-2 v2.2.2 (2018-04)
- ETSI EN 319 412-1 v1.1.1 (2016-02)
- ETSI EN 319 412-2 v2.1.1 (2016-02)
- ETSI EN 319 412-3 v1.1.1 (2016-02)
- ETSI EN 319 412-4 v1.1.1 (2016-02)
- ETSI EN 319 412-5 v2.2.1 (2017-11)
- CSBR 1.2 (2019-08)



On behalf of the certification body:

.....
Lajos Einetter
Managing director
2019. 10. 25.



The certificate is valid only with its Annex.

* The certification body MATRIX Ltd. (H-2040 Budaörs, Szabadság str. 290.)

ANNEX OF THE CERTIFICATE (I-NL19T2_TAN.EN)

Document ID	I-NL19T2_TAN.EN.ME-01	
Project ID	I-NL19T2	NetLock Ltd. certification of trust services 2019
MATRIX certification director	Molnár Ádám	
Date	Budaörs, 25 of October, 2019	
..... MATRIX Certification Director		

1. INTRODUCTION

The certification body of MATRIX Ltd. (henceforth: MATRIX) is accredited by National Accreditation Authority based on NAH-6-0054/2019/K accreditation document according to eIDAS (REGULATION (EU) No 910/2014 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC and ETSI 319 4xx standards) as an independent certification body.

The NETLOCK IT and Network Security Service Ltd. (henceforth: NETLOCK), as trust service provider for qualified preservation service, requested MATRIX in 2019, as a notified certification body (accreditation document number: NAH-6-0054/2019/K). For the certification NETLOCK and MATRIX launched a joint project.

Detailed Evaluation Reports have been made about the certification. The most important information on the conditions of certification and use is contained in this annex.

2. CONFORMITY ASSESSMENT REQUIREMENTS

Requirements for the Evaluation are defined in the following standards:

- **ETSI EN 319 401 v2.2.1 (2018-04)**
Electronic Signatures and Infrastructures (ESI); General Policy Requirements for Trust Service Providers, Version v2.2.1, 2018-04, European Telecommunications Standards Institute
- **ETSI EN 319 411-1 v1.2.2 (2018-04)**
Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General requirements, Version v1.2.2, 2018-04, European Telecommunications Standards Institute
- **ETSI EN 319 411-2 v2.2.2 (2018-04)**
Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 2: Requirements for trust service providers issuing EU qualified certificates, Version v2.2.2, 2018-04, European Telecommunications Standards Institute

- **ETSI EN 319 412-1 v1.1.1 (2016-02)**
Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 1: Overview and common data structures, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-2 v2.1.1 (2016-02)**
Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 2: Certificate profile for certificates issued to natural persons, Version v2.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-3 v1.1.1 (2016-02)**
Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 3: Certificate profile for certificates issued to legal persons, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-4 v1.1.1 (2016-02)**
Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 4: Certificate profile for web site certificates, Version v1.1.1, 2016-02, European Telecommunications Standards Institute
- **ETSI EN 319 412-5 v2.2.1 (2017-11)**
Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 5: QCStatements, Version v2.1.1, 2016-02, European Telecommunications Standards Institute
- **CSBR 1.2 (2019-08)**
Minimum Requirements for the Issuance and Management of Publicly-Trusted Code Signing Certificates

3. CONFORMITY ASSESSMENT TARGET

3.1. Evaluated profiles

List of profiles reviewed the audit, according to the requirement standard.

Type of the profiles	Standard that describes the profile	
Personal	ETSI EN 319 412-2	For natural persons
Organizational	ETSI EN 319 412-3	For legal persons, organizations
SSL web	ETSI EN 319 412-4	For web certifications
QCStatements (qualified personal)	ETSI EN 319 412-5	Profiles completed with Qualified Certificate Statements
Code signing	CSBR 1.1	Signing code

3.2. The audit covered the following certifications:

Short name in structure	Full name	CA availability	SHA1 fingerprint	SHA256 fingerprint
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CERTIFICATION OF TRUST SERVICES



SHA2 GOLD	NetLock Arany (Class Gold) Főtanúsítvány	www.NetLock.hu/index.cgi?ca=gold	06:08:3F:59:3F:15:A 1:04:A0:69:A4:6B:A 9:03:D0:06:B7:97:0 9:91	6C:61:DA:C3:A2:DE:F 0:31:50:6B:E0:36:D2:A 6:FE:40:19:94:FB:D1:3 D:F9:C8:D4:66:59:92:7 4:C4:46:EC:98
SHA2 PLATINUM	NetLock Platina (Class Platinum) Főtanúsítvány	www.NetLock.hu/index.cgi?ca=platinum	EC:93:DE:08:3C:93: D9:33:A9:86:B3:D5: CD:E2:5A:CB:2F:E E:CF:8E	EB:7E:05:AA:58:E7:BD :32:8A:28:2B:F8:86:70: 33:F3:C0:35:34:2B:51: 6E:E8:5C:01:67:3D:FF: FF:BB:FE:58
TRUSTADV+	NETLOCK Trust Advanced Plus CA	www.NetLock.hu/index.cgi?ca=trustadv	D3:5F:AC:AB:38:21: 37:83:75:88:3F:BE: 47:FA:BE:18:51:BA: 2C:04	F2:60:36:70:BE:DE:AD :1D:97:7D:69:92:FA:65 :54:E6:CA:59:5B:C5:0F :3B:03:F4:16:DC:F0:F2 :0D:AC:36:C2
TRUSTADV	NETLOCK Trust Advanced CA	www.NetLock.hu/index.cgi?ca=trustadv	35:A8:2E:AB:EB:6C :82:85:75:A3:09:22: 8C:4F:0A:50:95:9E: 62:11	D8:2F:87:F9:3D:31:D5: FC:81:8D:D6:6B:D5:0E :7F:31:9A:E1:79:FC:1C :5D:00:54:7B:65:8E:8E :B3:F4:CE:56
TRUST	NETLOCK Trust CA	www.NetLock.hu/index.cgi?ca=trust	C9:97:6C:E2:41:8A: 66:3A:FE:DE:C9:5F: 3A:26:24:47:BA:A7: 24:60	58:D7:A1:97:F0:9A:6E: A5:52:B8:EA:6B:1A:53: 18:5A:03:0A:3A:D8:D5: 22:20:C0:0C:44:E3:F4: 50:E4:FB:90
TRUSTSSL (visszavonva)	NETLOCK Trust SSL CA	www.NetLock.hu/index.cgi?ca=trustssl	BA:1D:03:D0:FB:40: 39:2C:53:0C:46:B1: 97:40:1A:96:92:2B:5 A:D8	0A:77:8B:F9:DD:7F:75: B2:F9:8:FB:7F:A7:05:C 9:87:CC:CB:58:740:4B: E7:EB:5D:8B:A0:B7:35 :4:D0:D9
TRUSTEV (visszavonva)	NETLOCK Trust EV CA	www.NetLock.hu/index.cgi?ca=trustev	D4:3F:58:73:AB:6F: 79:82:BA:E3:C1:D3: EB:D9:5F:A4:77:D2: AE:3A	A4:76:FE:1F:BF:EB:A0 :81:75:A0:C3:48:07:99: 0B:86:E6:3B:5A:A2:D6: CE:57:9C:4F:45:6C:95: 57:5B:B1:F6
TRUSTEV2	NETLOCK Trust EV CA 2	www.NetLock.hu/index.cgi?ca=trustev2	66:8C:25:56:6E:C3: 11:B5:67:A6:A5:0B: F6:C6:1A:D9:D7:BC :91:1E	CD:0C:C3:A6:F6:85:7D :42:7D:6D:98:F6:BF:87 :7E:08:45:E3:65:C5:BA :C6:1C:9B:AF:27:AE:0 A:C3:68:1A:57
CODESIGNCA	NetLock CodeSign CA	www.NetLock.hu/index.cgi?ca=codesignca	50:A7:D4:CE:89:FF: C0:1F:F1:8C:B6:E9: 94:40:9F:88:24:84:0 2:C1	C5:6F:0F:28:66:18:C1: E7:B0:E1:12:C9:7B:9E: E9:6F:EB:4D:71:E7:94: 96:C1:51:FA:1F:E8:A8: CE:BD:06:CD
QTRUSTQSCD	NETLOCK Trust Qualified QSCD CA	www.NetLock.hu/index.cgi?ca=trustqscd	6D:86:DF:CC:BF:19 :6B:35:09:05:87:10: 3E:34:9D:FC:E3:70: 5B:17	9E:43:62:A9:18:A8:90: 09:87:7C:7B:8B:19:0E: 76:3A:E0:12:AD:47:C1: CC:A5:FC:CF:16:6F:C 0:92:BC:2A:DE
QTRUSTSCD	NETLOCK Trust Qualified SCD CA	www.NetLock.hu/index.cgi?ca=trustscd	2A:23:5F:2D:0C:5C: B4:24:F9:08:30:32: B5:88:89:15:45:FA: 71:0E	7C:E1:DB:7D:16:E9:BF :E8:06:93:21:4A:3D:7C :62:63:AA:24:78:9E:39: 90:17:E6:9E:DE:48:02: EC:F6:F7:11
QTRUST	NETLOCK Trust Qualified CA	www.NetLock.hu/index.cgi?ca=trust	83:55:FE:33:AD:62: E7:C4:56:80:D4:47: 56:C8:DD:6C:84:BE :AC:C5	54:98:96:3D:FF:A6:51: 60:4F:46:7E:10:8E:65: A1:83:47:0F:A9:B5:57: C1:29:DD:F8:C9:D8:12 :B4:F0:BF:96
QTRUSTEV (visszavonva)	NETLOCK Trust Qualified EV CA	www.NetLock.hu/index.cgi?ca=trustev	50:D3:FB:FA:52:94: D2:DF:40:C4:87:DB :92:78:B2:7E:B1:A2: BA:9C	B0:F2:5A:6D:9A:96:31: 5C:7C:DA:EA:3E:49:0 E:7B:FA:9F:B1:73:10:B 0:70:1B:7C:D6:FF:43:2 5:30:83:77:30
QTRUSTEV2	NETLOCK Trust Qualified EV CA 2	https://www.netlock.hu/index.cgi?ca=qtrustev2	89:58:4D:A0:C7:23: 4E:DD:13:4E:58:46: 04:95:B6:3B:2A:84: 83:B1	36:EF:D1:3A:E5:DC:6 D:01:B6:C1:95:68:41:B 4:5D:18:CE:80:85:FB: 83:B1

CERTIFICATION OF TRUST SERVICES



				C1:97:D9:0E:8A:8A:21: A9:B0:1E:BF:BA
SHA2 Q EAT	NetLock Minősített Eat. (Class Q Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cqlca	5D:C4:62:76:3A:50: 6F:1A:0A:7C:D3:E3: 31:94:18:F4:9F:58:0 0:D1	62:84:A0:3B:AF:AE:86: 1A:30:E3:A2:5A:03:04: 28:30:6F:7E:B5:2B:D0: BA:57:7A:1D:96:2A:80: 9A:83:0C:9E
SHA2 QS EAT	NetLock Minősített Eat. (Class Q Legal S) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cqlsca	AE:91:18:8C:55CF: 29:5B:57:7F:AA:21: DB:55:25:06:FB:70: 26:DA	A5:F2:FD:0D:66:DB:4D :D7:7A:29:14:ED:3C:74 :7C:BD:97:E7:34:CF:4 E:2B:6F:21:7F:B4:1A:A 4:EA:FD:EA:D2
SHA2 A EAT	NetLock Közjegyzői Eat. (Class A Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cqlca	71:7E:DF:F4:56:26: FD:A7:8E:59:0B:B5: 40:E7:42:15:C3:CE: 38:2C	AD:47:88:3A:48:C8:6A: 34:69:E3:2C:97:2B:39: A3:EE:15:58:04:D3:2B: F5:3F:F0:02:00:0B:CA: 11:D2:95:D9
SHA2 B EAT	NetLock Üzleti Eat. (Class B Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cblca	B2:B0:5A:79:00:26: 6C:F2:27:92:3F:42: 88:CC:A4:42:A8:F4: 3E:7E	1D:93:68:6C:A4:2C:70: 39:4F:BD:C2:BC:1F:98 :46:1D:19:87:1C:2A:00: 07:8B:81:54:99:31:2E: D9:F6:FE:0C
SHA2 C EAT	NetLock Expressz Eat. (Class C Legal) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cclca	97:84:1E:6F:A0:58: 60:23:5B:91:0D:99: 97:3E:CE:8B:28:EA: 51:71	BB:66:1D:75:0C:53:16: 61:81:80:7A:68:98:FD: 46:40:65:CE:59:29:89: 86:AD:66:D9:D6:FF:FC :BB:D4:73:8A
SHA2 A	NetLock Közjegyzői (Class A) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=caca	73:ED:0C:B5:D7:10: 12:CE:5F:21:D9:67: 6C:8B:3F:6B:68:08: B9:DF	75:89:4D:4C:94:C2:2D: 72:96:EE:19:FB:44:76: 23:25:8C:25:91:B1:70: 31:28:8B:B3:86:A2:0A: A1:F0:E0:A6
SHA2 B	NetLock Üzleti (Class B) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=cblca	2B:88:63:69:86:56:7 6:FB:6C:1B:27:A9:A E:83:DA:B2:E7:7D: D3:D1	6B:23:FA:D8:90:18:0C: 33:7B:86:41:55:DA:E0: DE:9B:AD:9E:F0:BD:A 7:8D:11:2F:2C:BD:C3: D0:2A:D1:79:56
SHA2 C	NetLock Expressz (Class C) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=ccca	3F:6F:58:9F:5A:28: 46:6A:E2:95:D5:E1: 8E:BD:13:9C:57:C6: 8D:B5	37:2B:8F:4C:E7:3B:ED :FC:88:71:8C:40:7B:B6 :B3:E6:D8:F9:A7:9B:E 9:57:19:0D:0E:71:01:C 7:B0:EF:9A:32
SHA2 MKB EAT (visszavonva)	MNB Tanúsítványkiadó	http://crl.mkb.hu/CA4.crt	A2:D8:40:AA:BE:DF :24:80:2A:77:A1:97: F6:0F:42:E2:B7:29: B1:87	E5:3A:9D:8C:00:46:C6: 36:6D:3C:6A:18:E2:3B: 4F:4C:BA:AA:DB:7D:7 9:B8:C2:F1:87:86:82:1 D:EE:04:6A:F3
SHA2 MKB EAT	MNB Tanúsítványkiadó	http://crl.mkb.hu/MKBSubCA5.crt	7F:44:2B:BE:EE:7C: 0A:5E:B0:03:D7:B4: 37:55:D8:B7:5D:2F: 3C:62	F9:0A:CA:63:CB:8B:B4 :4F:8D:91:B8:64:47:4B: B4:2A:AE:17:7E:73:FD :FE:6F:4A:CB:D1:2A:0 1:3A:41:5C:15
SHA2 MNB EAT (lejárt)	MNB Eat. Tanúsítványkiadó	http://cdp.mnb.hu/LHSZ1.crt	FD:85:81:AD:47:00: CC:FB:72:0B:9D:F9 :45:01:94:F5:A7:46: 69:30	87:8E:F6:28:26:7A:15: 49:3D:2B:D9:B1:BA:12 :31:18:74:68:0B:D8:E1: C4:F0:4A:8B:36:92:41: DB:03:4D:FA
SHA2 KELER EAT (visszavonva)	KELER Tanúsítványkiadó	http://www.keler.hu/crl/lhsz2.crt	DB:6C:3A:56:D2:47: 94:B9:D7:9D:D6:8D: CB:5C:67:37:9B:0C: 7F:2F	73:C2:77:04:C3:B3:12: 80:7D:18:15:5B:3A:D0: 69:07:DC:9A:37:4B:66: FB:ED:C2:BC:29:73:03 :1A:6B:E9:88
SHA1 QA	NetLock Minositett Kozjegyzoi (Class QA) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=mshea	01:68:97:E1:A0:B8: F2:C3:B1:34:66:5C: 20:A7:27:B7:A1:58: E2:8F	E6:06:DD:EE:E2:EE:7 F:5C:DE:F5:D9:05:8F: F8:B7:D0:A9:F0:42:87: 7F:6A:17:1E:D8:FF:69: 60:E4:CC:5E:A5
SHA1 A	NetLock Kozjegyzoi (Class A) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=kozjegyzoi	AC:ED:5F:65:53:FD :25:CE:01:5F:1F:7A: 48:3B:6A:74:9F:61: 78:C6	7F:12:CD:5F:7E:5E:29: 0E:C7:D8:51:79:D5:B7: 2C:20:A5:BE:75:08:FF: DB:5B:F8:1A:B9:68:4A :7F:C9:F6:67

SHA1 B	NetLock Üzleti (Class B) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=uzleti	87:9F:4B:EE:05:DF: 98:58:3B:E3:60:D6: 33:E7:0D:3F:FE:98: 71:AF	39:DF:7B:68:2B:7B:93: 8F:84:71:54:81:CC:DE: 8D:60:D8:F2:2E:C5:98: 87:7D:0A:AA:C1:2B:59: :18:2B:03:12
SHA1 C	NetLock Expressz (Class C) Tanúsítványkiadó	www.NetLock.hu/index.cgi?ca=expressz	E3:92:51:2F:0A:CF: F5:05:DF:F6:DE:06: 7F:75:37:E1:65:EA: 57:4B	0B:5E:ED:4E:84:64:03: CF:55:E0:65:84:84:40: ED:2A:82:75:8B:F5:B9: AA:1F:25:3D:46:13:CF: A0:80:FF:3F
SHA2 OnlineSSL	NETLOCK OnlineSSL Tanúsítványkiadó	http://www.netlock.hu/index.cgi?crl=olsslgca	78:3C:7C:34:35:95: 6D:3F:EB:5A:7C:DF: :53:47:0F:11:30:AE: A9:41	A4:C4:63:FA:A1:6C:C4: :70:A8:29:B0:58:05:8F: 1E:3D:FA:8B:83:97:97: B3:86:18:19:F7:58:07: CA:F1:F0:2C
PDVCA	NETLOCK Domain Validated CA	http://www.netlock.hu/index.cgi?ca=pdvca	7B:AC:40:6B:31:EF: 6A:99:CE:B9:70:A7: EF:C7:9C:AB:A3:90: :10:3	A1:66:3E:37:B8:99:2D: 81:9C:69:FB:89:70:70: 65:CA:BD:FE:3D:53:C 2:78:FC:3E:C2:60:10:0 1:79:86:02:F8
SHA2 KGYHSZ	KGYHSZ (Publikus Admin Tanúsítvány CA - Magyarország) Kormányzati tanúsítvány Magyarország, nem a NetLock tulajdona.	http://www.kgyhsz.gov.hu/KGYHSZ_CA_20091210.cer	1C:93:F6:DF:1D:7E: 51:F5:69:2F:B4:0B: E9:83:39:DA:62:94: 0E:9F	83:34:92:D7:3A:6C:F4: E3:19:C5:9F:35:8D:37: DF:B5:51:98:ED:38:A9: 88:90:FE:47:10:91:F4: E3:DF:27:20
SHA2 KI Q	NetLock Minősített Közigazgatási (Class Q) Tanúsítványkiadó	https://www.NetLock.hu/index.cgi?ca=mkozig256	31:C0:7C:A9:5B:DD: :9A:06:1A:F4:9A:39: 41:2F:95:5F:71:A4:1 D:49	EB:A2:27:84:D2:09:02: A9:F9:AF:3F:64:0D:14: 88:9A:53:D7:F3:C4:B5: B0:69:70:16:B8:D7:49: AD:E9:7F:3E
SHA2 KI B	NetLock Közigazgatási Üzleti (Class B) Tanúsítványkiadó	https://www.NetLock.hu/index.cgi?ca=bkozig256	6F:35:66:55:2F:BC: C9:33:CB:C1:76:86: F9:C7:76:54:9C:49: 7B:57	F7:F8:CD:8A:0B:CF:E F:03:76:B4:DE:06:90:4 B:D4:F1:79:BF:3B:54:2 5:7F:CF:30:5C:DC:CF: 7E:D7:F3:52:42

3.3. Customer of the certification

- *Comissioned name of organisation:*
NetLock Ltd.
- *Headquarter:*
1101 Budapest, Expo tér 5-7.
- *The appropriate location for conducting a performance review:*
1101 Budapest, Expo tér 5-7.

3.4. Scope of the audit

LCP	Lightweight CP
NCP	Normalized CP
NCP+	Extended Normalized CP
DVCP	Domain Validation CP
IVCP	Individual Validation CP
OVCP	Organizational Validation CP
EVCP	Extended Validation CP

CSCP	CodeSign CP (CSBR)
qcp-n	Qualified CP –for natural persons
qcp-l	Qualified CP –for legal persons
qcp-n-qscd	Qualified CP –for natural persons with qscd
qcp-l-qscd	Qualified CP –for legal persons with qscd
qcp-w	Qualified CP –for web site certificates

3.5. Audit methodology

The conformity assessment performed the audit team auditing method.

Auditing: Systematic, independent, documented process facts on records, claims or other important informations acquisition and their objective evaluation in order to determine to what extent the requirements are met.

The objective evidence collected by the audit team with the following techniques:

- Documentation evaluation
- Visual inspection
- Interviewing
- Technical review

Documentation evaluation: Policies from the organization, certificate policies and evaluation of regulatory documents.

Visual inspection: During the on-site audit on the basis of administrative security measures and physical security protection measures in existence and application of visual assessment of audit along the route.

Interviewing: Observation persons involved in the certificate policies and in the process they have done, evaluation in targeted areas of assessment issues related to information security.

Technical review: The logical security provisions, technical configuration regulations evaluation of the IT system.

3.6. Audit time schedule

The full audit took 17 days. The risk analysis assessment requirements described in ETSI 319411-1 consideration of section 7.1.

Start date of the audit: 2019. 09. 17.	End of the audit: 2018. 10. 24.	
Documentation inspection:	2019.09.17.-10.01.,	11 days
Risk analysis evaluation:	2019.10.07.	1 day
On-site audit:	2019.10.21.	1 day
Audit report preparation:	2019.10.21.-10.24.	4 days
Examined period	2018.09.04.	2019.09.03.

3.7. Documentation used for the audit

- Szolgáltatási Rend Minősített Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.14.20180913
- Szolgáltatási Szabályzat Minősített Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.15.20180913
- Szolgáltatási Rend Nem Minősített Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.60.20180913
- Szolgáltatási Szabályzat Nem Minősített Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.59.20180913
- Szolgáltatási Rend Nem eIDAS Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.65.20180913
- Szolgáltatási Szabályzat Nem eIDAS Tanúsítványszolgáltatásokra
- 1.3.6.1.4.1.3555.1.49.20180913

3.8. Description of the changes to the audit plan:

During the audit, the audit team members worked in accordance with the audit plan, so the audit did not differ from the planned schedule.

4. FINDINGS, RESULTS AND NON-CONFORMITIES

During the conformity assessment, according to requirements described in the identified standards carried out by the certificate policies performed trust services.

4.1. ETSI EN 319 401 V2.1.1 (2016-02)

Risk Assessment	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Policies and practices	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

TSP management and operation			
<i>Internal organization</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Asset management</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Access control</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Cryptographic controls</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Physical and environmental security</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Operation security</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Network security</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Incident management</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Collection of evidence</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Business continuity management</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>TSP termination and termination plans</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Compliance</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4.2. ETSI EN 319 411 V2.1.1 (2016-02)

General provisions on Certification Practice Statement and Certificate Policies			
<i>General requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>CPS requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>CP name and identification</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>PKI participants</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate usage</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

TSP practice			
<i>Publication and repository responsibilities</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Identification and authentication</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate Life-Cycle operational requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Facility, management, and operational controls</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Technical security controls</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Certificate, CRL, and OCSP profiles</i>	Yes	Part of	No
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Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Compliance audit and other assessment</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Other business and legal matters</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Other provisions</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Framework for the definition of other certificate policies			
<i>CP management</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Additional requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4.3. ETSI EN 319 412 V2.1.1 (2016-02,2017-11)

ETSI EN 319 412 certificate profiles	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Common data structures			
<i>Semantics identifiers</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

General certificate profile requirements			
<i>Generic requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Basic certificate fields</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>IETF RFC 5280 internet certificate extensions</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

EU qualified certificate requirements			
<i>EU QCStatements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate policies</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Profile requirements			
<i>Generic requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Basic certificate fields</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Qualified certificate statements			
<i>General requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>QCStatements claiming compliance with the EU legislation</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Generic QCStatements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Requirements on QCStatements in EU qualified certificates			
<i>Generic requirements</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

4.4. CSBR 1.2 (2019-08)

Minimum Requirements for the Issuance and Management of Publicly-Trusted Code Signing Certificates			
<i>Certificate Warranties and Representations</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Community and Applicability</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate Content and Profile</i>	Yes	Part of	No
Meets the standard requirements.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>Certificate Request</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Verification Practices</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate Issuance by a Root CA</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Certificate Revocation and Status Checking</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Employees and Third Parties</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Data Records</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Data Security and Private Key Protection</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Data Records</i>	Yes	Part of	No
Meets the standard requirements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

During the audit, non-conformity not recorded by the audit team.

During the audit, partial non-conformities were identified by the audit team those have been documented in the audit documentation. Corrective measures by the trust service provider must take place before 2019.11.29.

Further audit evidence are in the audit report.

4.5. Evaluated profiles

List of profiles reviewed the audit, according to the requirement standard.

Type of the profiles	Standard that describes the profile		Fulfill the requirement		
			Yes	Part of	No
Personal	ETSI EN 319 412-2	For natural persons	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Organizational	ETSI EN 319 412-3	For legal perons, organizations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SSL web	ETSI EN 319 412-4	For web certifications	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
QCStatements (qualified personal)	ETSI EN 319 412-5	Profiles completed with Qualified Certificate Statements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Code signing	CSBR 1.2	Sign code	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>